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Atty. Dkt.: 5053-23300

Serial No.: 09/365,066

For: Event-Triggered Transaction  
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**October 1, 1999**  
**Date**

**Eric A. Stephenson**

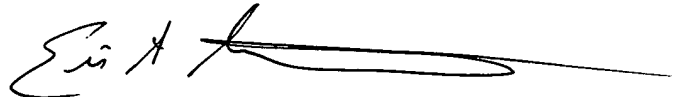
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Please address all correspondence in connection with this application to:

Eric A. Stephenson  
Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
Ph: (512) 703-1246

A check in the amount of the total filing fee is enclosed. However, if the check is missing or insufficient, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to Conley, Rose & Tayon, P.C. Deposit Account No. 03-2769/5053-23300/EAS.

Respectfully submitted,



Eric A. Stephenson  
Reg. No. 38,321  
ATTORNEY FOR APPLICANT(S)

Conley, Rose & Tayon  
P.O. Box 398  
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Date: 10/1/99



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|                    |                     |                       |                           |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|

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|-------------|----------|-----------|-----------|
| 0007315 006 | 07/30/95 | FRUITLAND | 5452.2300 |
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ERIC A STEPHENSON  
CONLEY ROSE & TAYON PC  
P O BOX 398  
AUSTIN TX 78787-0398

0222/0920

NOT ASSIGNED

2761

DATE MAILED:

08/20/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 1724.00.

- ☒ 1. The statutory basic filing fee is:
- ☐ missing.
- ☐ insufficient. 71

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small-entity statement claiming

2. Additional claim fees of \$ 834, including any multiple dependent claim fees, are required.  
 \$ 78.00 for 1 independent claims over 3.  
 \$ 756.00 for 42 dependent claims over 20.  
 \$ 0.00 for multiple dependent claim surcharge.

**Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.**

- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

- ☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.821-1.825).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☒ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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**Initial Patent Examination Division (703) 308-1202**

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